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|   |                                |                        |                          |
|---|--------------------------------|------------------------|--------------------------|
| <b>AMENDMENT TRANSMITTAL LETTER</b>       |                                |                        | Docket No.<br>0171-1026P |
| Application No.<br>10/679,264-Conf. #3127 | Filing Date<br>October 7, 2003 | Examiner<br>E. D. Ivey | Art Unit<br>1775         |

Applicant(s): Satoshi OKAZAKI et al.

Invention: HALFTONE PHASE SHIFT MASK BLANK, AND METHOD OF MANUFACTURE

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Transmitted herewith is an amendment in the above-identified application.  
The fee has been calculated and is transmitted as shown below.

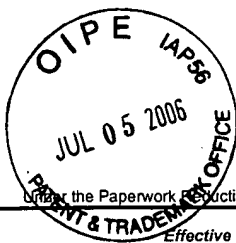
| CLAIMS AS AMENDED  |   |   |                                   |          |        |
|--|---|---|-----------------------------------|----------|--------|
|  | Claims<br>Remaining<br>After<br>Amendment | Highest<br>Number<br>Previously<br>Paid | Number<br>Extra Claims<br>Present | Rate     |        |
| Total Claims   | 23  | - 20 =                                  | 3                                 | x 150.00 | 150.00 |
| Independent<br>Claims  | 3   | - 3 =                                   |                                   | x        |        |
| Multiple Dependent Claims (check if applicable) <input type="checkbox"/> |   |   |                                   |          |        |
| Other fee (please specify):  |   |   |                                   |          |        |
| TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:                                 |   |   |                                   |          | 150.00 |

- ☒ Large Entity ☐ Small Entity
- ☐ No additional fee is required for this amendment.
- ☐ Please charge Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_.  
A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$ 150.00 is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 02-2448  
as described below. A duplicate copy of this sheet is enclosed.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.

Gerald M. Murphy, Jr.  
Attorney Reg. No.: 28,977

Dated: July 5, 2006

BIRCH, STEWART, KOLASCH & BIRCH, LLP  
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PTO/SB/17 (12-04v2)  
Approved for use through 7/31/2006. OMB 0651-0032  
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|  |  |                          |                        |
|--|--|--------------------------|------------------------|
| <b>FEE TRANSMITTAL</b><br><b>For FY 2005</b>                                   |  | <b>Complete if Known</b> |                        |
|  |  | Application Number       | 10/679,264-Conf. #3127 |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27 |  | Filing Date              | October 7, 2003        |
|  |  | First Named Inventor     | Satoshi OKAZAKI        |
| <b>TOTAL AMOUNT OF PAYMENT</b> (\$ ) 150.00                                    |  | Examiner Name            | E. D. Ivey             |
|  |  | Art Unit                 | 1775                   |
|  |  | Attorney Docket No.      | 0171-1026P             |

**METHOD OF PAYMENT** (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_

☐ Deposit Account Deposit Account Number: 02-2448 Deposit Account Name: Birch, Stewart, Kolasch & Birch, LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

**FEE CALCULATION**

**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

| Application Type | FILING FEES |                       | SEARCH FEES |                       | EXAMINATION FEES |                       | Fees Paid (\$) |
|------------------|-------------|-----------------------|-------------|-----------------------|------------------|-----------------------|----------------|
|                  | Fee (\$)    | Small Entity Fee (\$) | Fee (\$)    | Small Entity Fee (\$) | Fee (\$)         | Small Entity Fee (\$) |                |
| Utility          | 300         | 150                   | 500         | 250                   | 200              | 100                   |                |
| Design           | 200         | 100                   | 100         | 50                    | 130              | 65                    |                |
| Plant            | 200         | 100                   | 300         | 150                   | 160              | 80                    |                |
| Reissue          | 300         | 150                   | 500         | 250                   | 600              | 300                   |                |
| Provisional      | 200         | 100                   | 0           | 0                     | 0                | 0                     |                |

**2. EXCESS CLAIM FEES**

| Fee Description                                    | Fee (\$) | Small Entity Fee (\$) |
|--|----------|-----------------------|
| Each claim over 20 (including Reissues)            | 50       | 25                    |
| Each independent claim over 3 (including Reissues) | 200      | 100                   |
| Multiple dependent claims                          | 360      | 180                   |

| Total Claims  | Extra Claims | Fee (\$) | Fee Paid (\$) | Multiple Dependent Claims | Fee (\$) | Fee Paid (\$) |
|---------------|--------------|----------|---------------|---------------------------|----------|---------------|
| 23            | - 20 = 3     | x 50.00  | = 150.00      |                           |          |               |
| Indep. Claims | Extra Claims | Fee (\$) | Fee Paid (\$) |                           |          |               |
| 3             | - 3 =        | x        | =             |                           |          |               |

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof | Fee (\$) | Fee Paid (\$) |
|--------------|--------------|--|----------|---------------|
|              | - 100 =      | /50 (round up to a whole number) x               | =        |               |

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): \_\_\_\_\_

|                     |                       |                  |              |           |                |
|---------------------|-----------------------|------------------|--------------|-----------|----------------|
| <b>SUBMITTED BY</b> |                       | Registration No. | 28,977       | Telephone | (703) 205-8000 |
| Signature           |                       | (Attorney/Agent) |              |           |                |
| Name (Print/Type)   | Gerald M. Murphy, Jr. | Date             | July 5, 2006 |           |                |



Docket No.: 0171-1026P  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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In re Patent Application of:  
Satoshi OKAZAKI et al.

Application No.: 10/679,264

Confirmation No.: 3127

Filed: October 7, 2003

Art Unit: 1775

For: HALFTONE PHASE SHIFT MASK BLANK,  
AND METHOD OF MANUFACTURE

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Examiner: E. D. Ivey

**AMENDMENT IN RESPONSE TO NON-FINAL OFFICE ACTION**

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INTRODUCTORY COMMENTS**

In response to the Office Action dated April 5, 2006, please amend the above-identified U.S. patent application as follows:

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks/Arguments** begin on page 8 of this paper.

07/06/2006 HALI11 00000139 10679264

01 FC:1202

150.00 0P